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PTO/SB/21 (04-04)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	10/621,552
		Filing Date	July 17, 2003
		First Named Inventor	Bobby Hu
		Art Unit	1724
		Examiner Name	
Total Number of Pages in This Submission	4	Attorney Docket Number	2186-00400 DVF

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  PTO 1449 (1 p.) and 3 References and Copy of Acknowledgment (1 p) and copy of Electronic IDS (2 p.)
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Or Individual Name	DEREK V. FORINASH		
Signature			
Date	June 24, 2004		
CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or Printed Name	M. A. CRABTREE		
Signature		Date	June 24, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Bobby Hu	§	ART UNIT:	1724
		§		
SERIAL NO.:	10/621,552	§		
		§	EXAMINER:	
FILED:	July 17, 2003	§	Not Yet Assigned	
		§		
FOR:	Reversible Ratcheting Tool With a	§	CONFIRMATION NO.	1339
	Smaller Head and Improved Driving	§		
	Torque	§		

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Atty. Dkt. No.: 2186-00400

Date: June 24, 2004

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA. 22313-1450

Sir:

In accordance with 37 CFR §1.97, §1.98, applicant is providing herewith copies of the supplementary items listed on the attached U.S. Patent and Trademark Office Form PTO 1449. If this application was filed prior to June 30, 2003, a copy of each publication listed on Form PTO-1449 is enclosed herewith. This information is supplemental to the Supplemental Information Disclosure Statement and Form PTO 1449 filed in the above-referenced case on February 17, 2004.

The submission of this Supplemental Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

**Electronic Information Disclosure Statement**

As a part of this submission, an electronic Information Disclosure Statement (eIDS) is being transmitted on this date, and includes a listing of U.S. Patent and Published Applications of which Applicants are aware. A printed copy of that submission is attached hereto.

In accordance with 37 C.F.R. 1.98(e), no paper copies of those U.S. Patent and Published Applications are enclosed.

No Office Action on the merits has been received in the present application, and Applicant believes that no fee is due. In the event that an Office Action dated prior to the mailing date of this Supplemental Information Disclosure Statement has been issued, please charge Deposit Account 03-2769, Conley Rose, P.C., in the amount of \$180, so that this Supplemental Information Disclosure Statement may be considered under Rule 1.97(c).

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Derek V. Forinash', is written over a horizontal line.

DEREK V. FORINASH  
Reg. No. 47,231  
CONLEY ROSE, P.C.  
P. O. Box 3267  
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ATTORNEY FOR APPLICANT



Form PTO-1449 (Modified)

Atty. Docket No.  
2186-00400Serial No.  
10/621,552**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use several sheets if necessary)Applicant  
Bobby HuFiling Date  
July 17, 2003Group  
1724**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							YES	NO

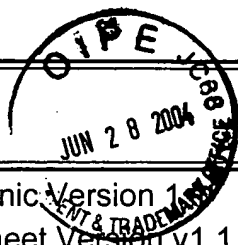
**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AA	Civil Action No. 302CV1723; In the United States District Court for the District of Connecticut; EASO Hand Tools, Inc. et al vs. Hu, HOU-FEI, a/k/a Bobby Hu; <i>Complaint for Declaratory Judgment of Noninfringement, Unenforceability and Invalidity of a Patent</i> ; filed 09/26/2002;
	AB	Civil Action No. 302CV1747 (AVC); In the United States District Court for the District of Connecticut; EASO Hand Tools, Inc. et al vs. Hu, HOU-FEI, a/k/a Bobby Hu; <i>Amended Complaint for Declaratory Judgment of Noninfringement, Unenforceability and Invalidity of a Patent</i> ; filed 09/26/2002;
	AC	Civil Action No. 302CV1723; In the United States District Court for the District of Connecticut; EASO Hand Tools, Inc. et al vs. Hu, HOU-FEI, a/k/a Bobby Hu; <i>Plaintiffs' Second Supplemental Resposne to Interrogatory No. 3 of Defendant's First Set of Interrogatories</i> ; filed 04/21/2004;

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

UNITED STATES PATENT AND TRADEMARK OFFICE  
ACKNOWLEDGEMENT RECEIPTElectronic Version 1  
Stylesheet Version v1.1.1

## Title of Invention

Reversible Ratcheting Tool With a Smaller Head and Improved Driving Torque

Submission Type: Information Disclosure Statement

Application Number: 10/621552

\*10/621552\*

EFS ID: 63261

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
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USPTOEFSNotice	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number:1(866) 217-9197 Website: <a href="http://www.uspto.gov/ebc/">http://www.uspto.gov/ebc/</a>

First Named Applicant: Bobby Hu

Attorney Docket Number: 218600400

Timestamp: 2004-06-24 14:32:21 EDT

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File Listing:

Doc. Name	File Name	Size (Bytes)
us-ids	IDSSUPP-usidst.xml	4488
us-ids	us-ids.dtd	7763
us-ids	us-ids.xsl	12026
package-data	IDSSUPP-pkda.xml	1970
package-data	package-data.dtd	27025
package-data	us-package-data.xsl	19263
Total files size		72535

Message Digest: 2f0ef609051b6d5a7b8f6ffe05a398325f989198

Digital Certificate Holder Name: cn=Derek V. Forinash,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US

**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18  
Stylesheet Version v18.0

**Title of Invention**

Reversible Ratcheting Tool With a Smaller Head and Improved Driving Torque

Application Number: 10/621552

\*10/621552\*

Confirmation Number: 1339

First Named Applicant: Bobby Hu

Attorney Docket Number: 218600400

Art Unit: 1724

Search string: ( 1078059 or 2735324 or 5584220 or 6257097 or 6260449 or 6382052 or 6386072 or 6457388 or 6520051 or Re13205 or 20020194950 or 20030010159 or 20030010163 or 20030012614 or 20030019335 ).pn.

**US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	1078059	1913-11-11	Mossberg			
	2	2735324	1956-02-21	Goldwater et al.			
	3	5584220	1996-12-17	Darrah et al.			
	4	6257097	2001-07-10	I-He			
	5	6260449	2001-07-17	I-He			
	6	6382052	2002-05-07	Chen			
	7	6386072	2002-05-14	Yuan-Chin et al.			
	8	6457388	2002-10-01	Chen			
	9	6520051	2003-02-18	Hu			
	10	Re13205	1911-02-14	Lane			

**US Published Applications**

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20020194950	2002-12-26	Hu			

	2	20030010159	2003-01-16	Hu
	3	20030010163	2003-01-16	Hu
	4	20030012614	2003-01-16	Hu
	5	20030019335	2003-01-30	Hu

## Remarks

Note: Remarks are not for responding to an office action.

The submission of this Supplemental electronic Information Disclosure Statement (eIDS) is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. 102 or 103. As a part of this submission, a non-electronic Supplemental Information Disclosure Statement is being transmitted on this date, and includes a listing of Non-U.S. Patent and Published Applications and other publications of which Applicants are aware.

## Signature

Examiner Name	Date